



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

GEORGALAS

Atty. Ref.: LSN-36-2022

Serial No. 10/594,421

TC/A.U.: 2194

Filed: September 26, 2006

Examiner: Kimberly L. JORDAN

For: DISTRIBUTED COMPUTER

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March 23, 2010

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Attention is directed to the following related co-pending application: USSN 10/511,905, Georgalas, et al., filed on October 20, 2004 (Publication No. US 2005-0216498 A1); and to issued USP 7,019,740 and to the art cited therein, which was submitted by the Applicant and/or cited by an Examiner. Copies of Office Actions in USSN 10/511,905 have also been listed on the attached Form PTO/SB/08a and submitted with this Information Disclosure Statement. The Examiner's attention is directed to the USPTO Image File Wrapper for USP 7,019,740 as containing copies of any office actions issued in such patent. If the Examiner wishes to have separate copies of any or all of this information, the Examiner is urged to contact the undersigned at the telephone number listed below, so that any such information desired by the Examiner can be provided.

Attention is directed to the following list of references from a paper (unpublished) by the inventor describing his invention. To the extent the references were readily accessible to the undersigned, copies are attached. If the Examiner wishes to have the Applicant obtain copies of the others, the Examiner is urged to contact the undersigned at

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the telephone number listed below, so that any such information desired by the Examiner can be provided.

- Fegaras L., Elmasri R., "Query Engines for Web-Accessible XMLData," Proceedings of the VLDB Conference, Morgan Kauffman Pubs, Rome, Italy, 11-14, September 2001
- Fry M., Ghosh A., "Application Level Active Networking," Computer Networks, Vol. 31, No. 7, 1999, pp. 655-667
- Funnelweb, <http://dmir.it.uts.edu.au/projects/alan/>
- Gao X., Yang J., Papazoglou M.P., "The Capability Matching of Web Services," Proceedings of 4th Symposium on Multimedia Software Engineering, IEEE CS, California, USA, December 2002
- Nektarios Georgalas, "Policy-Driven Customisation of Personalised Services," Proceedings of Policy Workshop 1999, HP-Laboratories, Bristol, UK, Nov. 1999 (copy attached)
- Nektarios Georgalas, "The Model of Object Primitives: Representation of Object Structures based on State Primitives and Behaviour Policies," Chapter in "Succeeding with Object Databases," edited by Robert Zicari and Akmal Chaudhri, John Wiley and Sons Publishers 2000 (copy attached)
- Nektarios Georgalas, "An Information Management Environment based on the Model of Object Primitives," Chapter in "Information modeling in the new millennium," book contents, pages 189-198, Published 2001, ISBN 1-878289-77-2, IGI Publishing, Hershey, PA (copy attached)
- Lobo et al, "A Policy Description Language", Proceedings of AAAI99 Conference, Orlando, Florida US, Jul. 1999 (copy attached)
- Marvie R., Merle P., "CORBA Component Model: Discussion and Use with OpenCCM," Technical Report, LIFL, France, 2002
- Mecella M., Pernici B., Rossi M., Testi A., "A Repository of Workflow Components for Cooperative e-Applications," Proceedings of the 1st IFIP TC8 Working Conference on E-Commerce/E-Business, BICE Press, pp. 73-92, Salzburg, Austria, 2001
- Moore B., Ellesson E., Strassner J., Westerinen A., "Policy Core Information Model – Version 1 Specification," RFC 3060, February 2001
- Pernici B., Mecella M., "Designing Components for e-Services," Proceedings of the VLDB Workshop on technologies for e-Services (VLDB-TES2000), Cairo, Egypt, 2000
- Piccinelli G., Salle M., Zirpins C., "Service-Oriented Modelling for E-Business Applications Components," Proceedings of 10th IEEE International Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises, Massachusetts, US, 20-22 June, 2001

- Pilioura T., Tsalgatiidou A., "E-services: Current Technologies and Open Issues," Proceedings of 2nd VLDB2001 TES Workshop, LCNS, Springer-Verlag, Rome, Italy, September 2001
- Rafalow L., "CIM Policy Model White Paper," DMTF White Paper, DSP0108, March 2002
- Lionel Sacks, Ognjen Prnjat, Ioannis Liabotis, Temitope Olukemi, Adrian Ching, Mike Fisher, Paul Mckee, Nektarios Georgalas, Hideki Yoshii, "Active Robust Resource Management in Cluster Computing Using Policies," Journal of Network and Systems Management archive, Volume 11, Issue 3 (September 2003), Pages 329-350, Published 2003, ISSN: 0164-7570, Plenum Press, New York, NY (copy attached)
- Sloman M. Lupu E., "Security and management policy specification," IEEE Network, Vol. 16, No. 2, March-April 2002, pp. 10-19
- Sun Microsystems, Enterprise javaBeans Downloads and Specifications, <http://java.sun.com/products/ejb/docs.html>
- Zhao B., Joseph A., "Xset: A Lightweight XML Search Engine for Internet Applications," 2000, <http://www.cs.berkeley.edu/~ravenben/sxet/>
- Chen Q., Hsu M., "Inter-Enterprise Collaborative Business Process Management," Proceedings of 17th International Conference on Data Engineering, IEEE CS, Heidelberg, Germany, April 2001
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- Damianou N., Dulay N., Lupu E., Sloman M., "The Ponder Policy Specification Language," Proceedings of the Policy Workshop 2001, LNCS 1995, Springer-Verlag, Bristol, UK, January 2001
- Dietrich S., Urban S.C., Sundermier A., Na Y., Jin Y., Kambhampati S., "A language and framework for supporting an active approach to component-based software integration," Informatica, Vol. 25, No. 4, 2001.

Attention is also directed to the following references cited in "Using policies in highly configurable component-based NGOSS," Georgalas et al., 2005. If the Examiner wishes to have the Applicant obtain copies of these, the Examiner is urged to contact the undersigned at the telephone number listed below, so that any such information desired by the Examiner can be provided.

- The TeleManagement Forum, <http://www.tmforum.org>
- Next Generation Operation Support Systems, <http://www.tmforum.org/browse.asp?catID=397>
- "NGOSS Architecture Technology Neutral Specification," TMF 053, Public Evaluation Version 2.5, The TeleManagement Forum, May 2002

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<http://java.sun.com/products/oss/adoption/catalyst/catalystNice2002.html>
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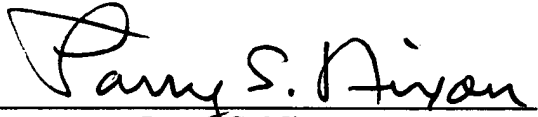
Additional documents known to the inventors are also attached together with a Form PTO-1449.

The fee for this stage of prosecution is attached.

Official citation and consideration of each identified document is requested.

Respectfully submitted,

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